Name

Signature

Carl I. Brundidge

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. 520.38682CX2 UTILITY First Inventor PATENT APPLICATION NAKAYAMA, SHINICHI **TRANSMITTAL** Title STORAGE SUBSYSTEM AND STORAGE CONTROLLER (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. **Assistant Commissioner for Patents APPLICATION ELEMENTS Box Patent Application** ADDRESS TO: Washington, DC 20231 SEE MPEP chapter 600 concerning utility patent application contents. 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. fif applicable, all necessary) See 37 CFR 1.27. Computer Readable Form (CRF) з. 🖂 b. Specification Sequence Listing on: [Total Pages: 22] Specification i. . CD-ROM or CD-R (2 copies); or -Descriptive title of the invention -Cross Reference to Related Applications ii. . D paper -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, Statements verifying identity of above copies or a computer program listing appendix -Background of the Invention ACCOMPANYING APPLICATION PARTS -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description Assignment Papers (cover sheet & documents(s)) -Claim(s) -Abstract of the Disclosure 37 CFR 3.73(b) Statement Dower of Attorney 4. 🖂 (when there is an assignee) Drawing(s) (35 U.S.C. 113) [Total Pages: 5] English Translation Document (if applicable) [Total Pages: 5] 5. Oath or Declaration 12. Information Disclosure Copies of IDS Citations Newly executed (original or copy) Statement (IDS)/PTO-1449 Copy from a prior application (37 CFR 1.63 (d)) **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR (if foreign priority is claimed) 1.63(d)(2) and 1.33(b) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 Application Data Sheet. See 37 CFR 1.76 or its equivalent. 17. Other: Credit Card Payment Form & Letter Claiming Right of Priority 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation □ Divisional Continuation-in-part (CIP) of prior application No.: 09/608.151 Prior application information: Examiner: _ Group Art Unit: _ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 020457 Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) ANTONELLI, TERRY, STOUT & KRAUS, LLP Name Address State Zip City Code Telephone (703) 312-6600 Fax (703) 312-6666 Country

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Registration No. (Attorney/Agent)

29,621

August 4, 2003

Date

Approved for use through 04/30/2003. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Application Number Not yet assigned August 4, 2003 First Name Investment August 4, 2003 First Name Investment August 4, 2003 August 4, 2003 First Name Investment August 4, 2003 August 4, 2004 August 4, 2004 August 4, 2004 August 4, 2003 August 4, 2004 Augus | | | | | | | o respond to a collection of information unless it displays a valid OMB control numbe Complete if Known | | | | | |
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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.